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Byproduct material	Microcuries
Zirconium 95 (Zr 95)	10
Zirconium 97 (Zr 97) Any byproduct material not listed above other	10
than alpha emitting byproduct material	0.1

[35 FR 6427, Apr. 22, 1970, as amended at 36 FR 16898, Aug. 26, 1971; 59 FR 5519, Feb. 7,

§ 30.72 Schedule C—Quantities of ra-dioactive materials requiring con-sideration of the need for an emergency plan for responding to a re-lease.

Radioactive material ¹	Release fraction	Quantity (curies)
Actinium-228	0.001	4,000
Americium-241	.001	2
Americium-242	.001	2
Americium-243	.001	2
Antimony-124	.01	4,000
Antimony-126	.01	6,000
Barium-133	.01	10,000
Barium-140	.01	30,000
Bismuth-207	.01	5,000
Bismuth-210	.01	600
Cadmium-109	.01	1,000
Cadmium-113	.01	80
Calcium-45	.01	20,000
Californium-252	.001	9 (20 mg
Carbon-14 (non-carbon dioxide)	.01	50,000
Cerium-141	.01	10,000
Cerium-144	.01	300
Cesium-134	.01	2,000
Cesium-137	.01	3,000
Chlorine-36	.5	100
Chromium-51	.01	300,000
Cobalt-60	.001	5,000
Copper-64	.01	200,000
Curium-242	.001	60
Curium-243	.001	3
Curium-244	.001	4
Curium-245	.001	2
Europium-152	.01	500
Europium-154	.01	400
Europium-155	.01	3,000
Germanium-68	.01	2,000
Gadolinium-153	.01	5,000
Gold-198	.01	30,000
Hafnium-172	.01	400
Hafnium-181	.01	7,000
Holmium-166m	.01	100
Hydrogen-3	.5	20,000
lodine-125	.5	10
lodine-131	.5	1.000
Indium-114m	.01 .001	
Iridium-192	.001	40,000
Iron-55		40,000
Iron-59 Krypton-85	.01 1.0	7,000
· ·	.01	8,000,000
Lead-210	.01	60,000
Manganese-56Mercury-203	.01	10,000
	.01	30,000
Molybdenum-99 Neptunium-237	.001	30,000
Nickel-63	.001	20.000
Niobium-94	.01	300
Phosphorus-32	.5	100
Phosphorus-32	.5 .5	1.000
Polonium-210	.01	1,000
1 0101110111-210	01	, ,

Radioactive material ¹	Release fraction	Quantity (curies)
Potassium-42	.01	9,000
Promethium-145	.01	4,000
Promethium-147	.01	4,000
Ruthenium-106	.01	200
Samarium-151	.01	4,000
Scandium-46	.01	3,000
Selenium-75	.01	10,000
Silver-110m	.01	1,000
Sodium-22	.01	9,000
Sodium-24	.01	10,000
Strontium-89	.01	3,000
Strontium-90	.01	90
Sulfur-35	.5	900
Technitium-99	.01 .01	10,000 400,000
Technitium-99m	.01	5,000
Tellurium-129m	.01	5,000
Terbium-160	.01	4.000
Thulium-170	.01	4,000
Tin-113	.01	10,000
Tin-123	.01	3,000
Tin-126	.01	1,000
Titanium-44	.01	100
Vanadium-48	.01	7,000
Xenon-133	1.0	900,000
Yttrium-91	.01	2,000
Zinc-65	.01	5,000
Zirconium-93	.01	400
Zirconium-95	.01	5,000
Any other beta-gamma emitter	.01	10,000
Mixed fission products	.01	1,000
Mixed corrosion products	.01	10,000
Contaminated equipment beta-gamma	.001	10,000
Irradiated material, any form other than		
solid noncombustible	.01	1,000
Irradiated material, solid noncombus-		
tible	.001	10,000
Mixed radioactive waste, beta-gamma	.01	1,000
Packaged mixed waste, beta-gamma ⁴	.001	10,000
Any other alpha emitter	.001	2
Contaminated equipment, alpha	.0001	20
Packaged waste, alpha 4	.0001	20
Combinations of radioactive materials		
listed above 1		

¹ For combinations of radioactive materials, consideration of the need for an emergency plan is required if the sum of the ratios of the quantity of each radioactive material authorized to the quantity listed for that material in Schedule C exceeds one.

² Waste packaged in Type B containers does not require an emergency plan.

 $[54\ {\rm FR}\ 14061,\ {\rm Apr.}\ 7,\ 1989,\ {\rm as}\ {\rm amended}\ {\rm at}\ 61\ {\rm FR}\ 9902,\ {\rm Mar.}\ 12,\ 1996]$

APPENDIX A TO PART 30—CRITERIA RE-LATING TO USE OF FINANCIAL TESTS AND PARENT COMPANY GUARANTEES FOR PROVIDING REASONABLE ASSUR-ANCE OF FUNDS FOR DECOMMIS-SIONING

I. Introduction

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on obtaining a parent company guarantee that funds will be available for decommissioning costs and on a demonstration that the parent company

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passes a financial test. This appendix establishes criteria for passing the financial test and for obtaining the parent company guarantee.

II. FINANCIAL TEST

- A. To pass the financial test, the parent company must meet the criteria of either paragraph A.1 or A.2 of this section:
 - 1. The parent company must have:
- (i) Two of the following three ratios: A ratio of total liabilities to net worth less than 2.0; a ratio of the sum of net income plus depreciation, depletion, and amortization to total liabilities greater than 0.1; and a ratio of current assets to current liabilities greater than 1.5; and
- (ii) Net working capital and tangible net worth each at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six times the amount of decommissioning funds being assured by a parent company guarantee for the total of all reactor units or parts thereof (Tangible net worth shall be calculated to exclude the net book value of the nuclear unit(s)); and
- (iii) Tangible net worth of at least \$10 million; and
- (iv) Assets located in the United States amounting to at least 90 percent of the total assets or at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six times the amount of decommissioning funds being assured by a parent company guarantee for the total of all reactor units or parts thereof.
- 2. The parent company must have:
- (i) A current rating for its most recent bond issuance of AAA, AA, A, or BBB as issued by Standard and Poor's or Aaa, Aa, A, or Baa as issued by Moody's: and
- (ii) Tangible net worth each at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six times the amount of decommissioning funds being assured by a parent company guarantee for the total of all reactor units or parts thereof (Tangible net worth shall be calculated to exclude the net book value of the nuclear unit(s)); and
- (iii) Tangible net worth of at least \$10 million; and
- (iv) Assets located in the United States amounting to at least 90 percent of the total assets or at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six

times the amount of decommissioning funds being assured by a parent company guarantee for the total of all reactor units or parts thereof.

- B. The parent company's independent certified public accountant must have compared the data used by the parent company in the financial test, which is derived from the independently audited, year end financial statements for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure the licensee shall inform NRC within 90 days of any matters coming to the auditor's attention which cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.
- C. 1. After the initial financial test, the parent company must repeat the passage of the test within 90 days after the close of each succeeding fiscal year.
- 2. If the parent company no longer meets the requirements of paragraph A of this section, the licensee must send notice to the Commission of intent to establish alternate financial assurance as specified in the Commission's regulations. The notice must be sent by certified mail within 90 days after the end of the fiscal year for which the year end financial data show that the parent company no longer meets the financial test requirements. The licensee must provide alternate financial assurance within 120 days after the end of such fiscal year.

III. PARENT COMPANY GUARANTEE

The terms of a parent company guarantee which an applicant or licensee obtains must provide that:

- A. The parent company guarantee will remain in force unless the guarantor sends notice of cancellation by certified mail to the licensee and the Commission. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by both the licensee and the Commission, as evidenced by the return receipts.
- B. If the licensee fails to provide alternate financial assurance as specified in the Commission's regulations within 90 days after receipt by the licensee and Commission of a notice of cancellation of the parent company guarantee from the guarantor, the guarantor will provide such alternative financial assurance in the name of the licensee.
- C. The parent company guarantee and financial test provisions must remain in effect until the Commission has terminated the license.
- D. If a trust is established for decommissioning costs, the trustee and trust must be acceptable to the Commission. An acceptable trustee includes an appropriate State or Federal Government agency or an entity which has the authority to act as a trustee and

10

Material

lodine-134

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whose trust operations are regulated and examined by a Federal or State agency.

[53 FR 24046, June 27, 1988, as amended at 63 FR 50479, Sept. 22, 1998]

APPENDIX B TO PART 30—QUANTITIES 1 OF LICENSED MATERIAL REQUIRING

[53 FR 24046, June 27, 1988, as amend	ded at 63	lodine-134	10
FR 50479, Sept. 22, 1998]		lodine-135	10
		Iridium-192	10
APPENDIX B TO PART 30—QUAN	TITIES 1	Iridium-194Iron-55	100 100
OF LICENSED MATERIAL RE	QUIRING	Iron-59	100
LABELING	•	Krypton-85	100
HIDEELING		Krypton-87	10
	Micro-	Lanthanum-140	10
Material	curies	Lutetium-177	100
		Manganese-52	10
Americium-241	.01	Manganese-54	10
Antimony-122	100	Manganese-56	10
Antimony-124	10	Mercury-197m	100
Antimony-125	10	Mercury-197	100
Arsenic-73	100	Mercury-203	10
Arsenic-74	10	Molybdenum-99	100
Arsenic-76	10	Neodymium-147	100
Arsenic-77	100 10	Neodymium-149	100
Barium-131	10	Nickel-59	100
Barium-140	10	Nickel-63	10
Bismuth-210	10	Nickel-65	100
Bromine-82	10	Niobium-93m	10
Cadmium-109	10	Niobium-95	10
Cadmium-115m	10	Niobium-97	10
Cadmium-115	100	Osmium-185 Osmium-191m	10 100
Calcium-45	10	Osmium-191	100
Calcium-47	10	Osmium-193	100
Carbon-14	100	Palladium-103	100
Cerium-141	100	Palladium-109	100
Cerium-143	100	Phosphorus-32	100
Cerium-144	1	Platinum-191	100
Cesium-131	1,000	Platinum-193m	100
Cesium-134m	100	Platinum-193	100
Cesium-134	.1	Platinum-197m	100
Cesium-135	10	Platinum-197	100
Cesium-136	10	Plutonium-239	.01
Cesium-137	10	Polonium-210	0.1
Chlorine-38	10 10	Potassium-42	10
Chromium-51	1,000	Praseodymium-142	100
Cobalt-58m	1,000	Praseodymium-143	100
Cobalt-58	10	Promethium-147	10
Cobalt-60	1	Promethium-149	10
Copper-64	100	Radium-226	.01
Dysprosium-165	10	Rhenium-186	100
Dysprosium-166	100	Rhenium-188	100
Erbium-169	100	Rhodium-103m	100
Erbium-171	100	Rhodium-105	100
Europium-152 9.2 h	100	Rubidium-86Rubidium-87	10
Europium-152 13 yr	1	Rubidium-97	10 100
Europium-154	1	Ruthenium-103	100
Europium-155	10	Ruthenium-105	10
Fluorine-18	1,000	Ruthenium-106	1
Gadolinium-153	10 100	Samarium-151	10
Gadolinium-159	100	Samarium-153	100
Germanium-71	100	Scandium-46	10
Gold-198	100	Scandium-47	100
Gold-199	100	Scandium-48	10
Hafnium-181	100	Selenium-75	10
Holmium-166	100	Silicon-31	100
Hydrogen-3	1.000	Silver-105	10
Indium-113m	100	Silver-110m	1
Indium-114m	10	Silver-111	100
Indium-115m	100	Sodium-24	10
Indium-115	10	Strontium-85	10
lodine-125	1	Strontium-89	1
lodine-126	1	Strontium-90	0.1
lodine-129	0.1	Strontium-91	10
lodine-131	1	Strontium-92	10
I 10 400	10	Culphur 9E	100
lodine-132lodine-133	10	Sulphur-35 Tantalum-182	10

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Material	Micro- curies
Technetium-96	10
Technetium-97m	100
Technetium-97	100
Technetium-99m	100
Technetium-99	10
Tellurium-125m	10
Tellurium-127m	10
Tellurium-127	100
Tellurium-129m	10
Tellurium-129	100
Tellurium-131m	10
Tellurium-132	10
Terbium-160	10
Thallium-200	100
Thallium-201	100
Thallium-202	100
Thallium-204	10
Thorium (natural) 1	100
Thulium-170	10
Thulium-171	10
Tin-113	10
Tin-125	10
Tungsten-181	10
Tungsten-185	10
Tungsten-187	100
Uranium (natural) 2	100
Uranium-233	.01
Uranium-234—Uranium-235	.01
Vanadium-48	10
Xenon-131m	1,000
Xenon-133	100
Xenon-135	100
Ytterbium-175	100
Yttrium-90	10
Yttrium-91	10
Yttrium-92	100
Yttrium-93	100
Zinc-65	10
Zinc-69m	100
Zinc-69	1,000
Zirconium-93	10
Zirconium-95	10
Zirconium-97	10
Any alpha emitting radionuclide not listed above	
or mixtures of alpha emitters of unknown	
composition	.01
Any radionuclide other than alpha emitting	
radionuclides, not listed above or mixtures of	
beta emitters of unknown composition	.1

¹Based on alpha disintegration rate of Th-232, Th-230 and their daughter products.

²Based on alpha disintegration rate of U-238, U-234, and II-235

Note: For purposes of §20.303, where there is involved a combination of isotopes in known amounts, the limit for the combination should be derived as follows: Determine, for each isotope in the combination, the ratio between the quantity present in the combination and the limit otherwise established for the specific isotope when not in combination. The sum of such ratios for all the isotopes in the combination may not exceed "1" (i.e., "unity").

[35 FR 6425, Apr. 22, 1970, as amended at 36 FR 16898, Aug. 26, 1971; 38 FR 29314, Oct. 24, 1973; 39 FR 23991, June 28, 1974; 45 FR 71763, Oct. 30, 1980. Redesignated at 56 FR 23391, May 21, 1991, and further redesignated at 58 FR 67659, Dec. 22, 1993]

APPENDIX C TO PART 30—CRITERIA RE-LATING TO USE OF FINANCIAL TESTS AND SELF GUARANTEES FOR PRO-VIDING REASONABLE ASSURANCE OF FUNDS FOR DECOMMISSIONING

I. Introduction

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the company passes the financial test of Section II of this appendix. The terms of the self-guarantee are in Section III of this appendix establishes criteria for passing the financial test for the self guarantee and establishes the terms for a self-guarantee.

II. FINANCIAL TEST

A. To pass the financial test, a company must meet all of the following criteria:

- (1) Tangible net worth at least 10 times the total current decommissioning cost estimate for the total of all facilities or parts thereof (or the current amount required if certification is used), or, for a power reactor licensee, at least 10 times the amount of decommissioning funds being assured by a self guarantee, for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor for the total of all reactor units or parts thereof (Tangible net worth shall be calculated to exclude the net book value of the nuclear unit(s)).
- (2) Assets located in the United States amounting to at least 90 percent of total assets or at least 10 times the total current decommissioning cost estimate for the total of all facilities or parts thereof (or the current amount required if certification is used), or, for a power reactor licensee, at least 10 times the amount of decommissioning funds being assured by a self guarantee, for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor for the total of all reactor units or parts thereof.
- (3) A current rating for its most recent bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P), or Aaa, Aa, or A as issued by Moodys.
- B. To pass the financial test, a company must meet all of the following additional requirements:
- (1) The company must have at least one class of equity securities registered under the Securities Exchange Act of 1934.
- (2) The company's independent certified public accountant must have compared the data used by the company in the financial test which is derived from the independently audited, yearend financial statements for the latest fiscal year, with the amounts in such

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financial statement. In connection with that procedure, the licensee shall inform NRC within 90 days of any matters coming to the attention of the auditor that cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.

- (3) After the initial financial test, the company must repeat passage of the test within 90 days after the close of each succeeding fiscal year.
- C. If the licensee no longer meets the requirements of Section II.A. of this appendix, the licensee must send immediate notice to the Commission of its intent to establish alternate financial assurance as specified in the Commission's regulations within 120 days of such notice.

III. COMPANY SELF-GUARANTEE

The terms of a self-guarantee which an applicant or licensee furnishes must provide

- A. The guarantee will remain in force unless the licensee sends notice of cancellation by certified mail to the Commission. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by the Commission, as evidenced by the return receipt.
- B. The licensee shall provide alternative financial assurance as specified in the Commission's regulations within 90 days following receipt by the Commission of a notice of cancellation of the guarantee.
- C. The guarantee and financial test provisions must remain in effect until the Commission has terminated the license or until another financial assurance method acceptable to the Commission has been put in effect by the licensee.
- D. The licensee will promptly forward to the Commission and the licensee's independent auditor all reports covering the latest fiscal year filed by the licensee with the Securities and Exchange Commission pursuant to the requirements of section 13 of the Securities and Exchange Act of 1934.
- E. If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poors or Moodys, the licensee will provide notice in writing of such fact to the Commission within 20 days after publication of the change by the rating service. If the licensee's most recent bond issuance ceases to be rated in any category of A or above by both Standard and Poors and Moodys, the licensee no longer meets the requirements of Section II.A. of this appendix.
- F. The applicant or licensee must provide to the Commission a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Commission, the licensee will set up and

fund a trust in the amount of the current cost estimates for decommissioning.

[58 FR 68730, Dec. 29, 1993; 59 FR 1618, Jan. 12, 1994, as amended at 63 FR 50479, Sept. 22, 1998]

APPENDIX D TO PART 30—CRITERIA RE-LATING TO USE OF FINANCIAL TESTS AND SELF-GUARANTEE FOR PRO-VIDING REASONABLE ASSURANCE OF FUNDS FOR DECOMMISSIONING BY COMMERCIAL COMPANIES THAT HAVE NO OUTSTANDING RATED BONDS

I. INTRODUCTION

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the company passes the financial test of Section II of this appendix. The terms of the self-guarantee are in Section III of this appendix establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

II. FINANCIAL TEST

- A. To pass the financial test a company must meet the following criteria:
- (1) Tangible net worth greater than \$10 million, or at least 10 times the total current decommissioning cost estimate (or the current amount required if certification is used), whichever is greater, for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (2) Assets located in the United States amounting to at least 90 percent of total assets or at least 10 times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (3) A ratio of cash flow divided by total liabilities greater than 0.15 and a ratio of total liabilities divided by net worth less than 1.5.
- B. In addition, to pass the financial test, a company must meet all of the following requirements:
- (1) The company's independent certified public accountant must have compared the data used by the company in the financial test, which is required to be derived from the independently audited year end financial statement based on United States generally accepted accounting practices for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform NRC within 90 days of any matters that may cause the auditor to believe that the data specified in

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the financial test should be adjusted and that the company no longer passes the test.

(2) After the initial financial test, the company must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

(3) If the licensee no longer meets the requirements of paragraph II.A of this appendix, the licensee must send notice to the NRC of intent to establish alternative financial assurance as specified in NRC regulations. The notice must be sent by certified mail, return receipt requested, within 90 days after the end of the fiscal year for which the year end financial data show that the licensee no longer meets the financial test requirements. The licensee must provide alternative financial assurance within 120 days after the end of such fiscal year.

III. COMPANY SELF-GUARANTEE

The terms of a self-guarantee which an applicant or licensee furnishes must provide that:

A. The guarantee shall remain in force unless the licensee sends notice of cancellation by certified mail, return receipt requested, to the NRC. Cancellation may not occur until an alternative financial assurance mechanism is in place.

B. The licensee shall provide alternative financial assurance as specified in the regulations within 90 days following receipt by the NRC of a notice of cancellation of the guarantee.

C. The guarantee and financial test provisions must remain in effect until the Commission has terminated the license or until another financial assurance method acceptable to the Commission has been put in effect by the licensee.

D. The applicant or licensee must provide to the Commission a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Commission, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

[63 FR 29542, June 1, 1998]

APPENDIX E TO PART 30—CRITERIA RE-LATING TO USE OF FINANCIAL TESTS AND SELF-GUARANTEE FOR PRO-VIDING REASONABLE ASSURANCE OF FUNDS FOR DECOMMISSIONING BY NONPROFIT COLLEGES, UNIVER-SITIES, AND HOSPITALS

I. Introduction

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available

for decommissioning costs and on a demonstration that the applicant or licensee passes the financial test of Section II of this appendix. The terms of the self-guarantee are in Section III of this appendix establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

II. FINANCIAL TEST

A. For colleges and universities, to pass the financial test a college or university must meet either the criteria in Paragraph II.A.(1) or the criteria in Paragraph II.A.(2) of this appendix.

(1) For applicants or licensees that issue bonds, a current rating for its most recent uninsured, uncollateralized, and unencumbered bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P) or Aaa, Aa, or A as issued by Moodys.

(2) For applicants or licensees that do not issue bonds, unrestricted endowment consisting of assets located in the United States of at least \$50 million, or at least 30 times the total current decommissioning cost estimate (or the current amount required if certification is used), whichever is greater, for all decommissioning activities for which the college or university is responsible as a self-guaranteeing licensee.

B. For hospitals, to pass the financial test a hospital must meet either the criteria in Paragraph II.B.(1) or the criteria in Paragraph II.B.(2) of this appendix:

(1) For applicants or licensees that issue bonds, a current rating for its most recent uninsured, uncollateralized, and unencumbered bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P) or Aaa. Aa. or A as issued by Moodys.

(2) For applicants or licensees that do not issue bonds, all the following tests must be met:

(a) (Total Revenues less total expenditures) divided by total revenues must be equal to or greater than 0.04.

(b) Long term debt divided by net fixed assets must be less than or equal to 0.67.

(c) (Current assets and depreciation fund) divided by current liabilities must be greater than or equal to 2.55.

(d) Operating revenues must be at least 100 times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the hospital is responsible as a self-guaranteeing license.

C. In addition, to pass the financial test, a licensee must meet all the following requirements:

(1) The licensee's independent certified public accountant must have compared the data used by the licensee in the financial test, which is required to be derived from the independently audited year end financial

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statements, based on United States generally accepted accounting practices, for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform NRC within 90 days of any matters coming to the attention of the auditor that cause the auditor to believe that the data specified in the financial test should be adjusted and that the licensee no longer passes the test.

(2) After the initial financial test, the licensee must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

(3) If the licensee no longer meets the requirements of Section I of this appendix, the licensee must send notice to the NRC of its intent to establish alternative financial assurance as specified in NRC regulations. The notice must be sent by certified mail, return receipt requested, within 90 days after the end of the fiscal year for which the year end financial data show that the licensee no longer meets the financial test requirements. The licensee must provide alternate financial assurance within 120 days after the end of such fiscal year.

III. SELF-GUARANTEE

The terms of a self-guarantee which an applicant or licensee furnishes must provide that—

A. The guarantee shall remain in force unless the licensee sends notice of cancellation by certified mail, and/or return receipt requested, to the Commission. Cancellation may not occur unless an alternative financial assurance mechanism is in place.

B. The licensee shall provide alternative financial assurance as specified in the Commission's regulations within 90 days following receipt by the Commission of a notice of cancellation of the guarantee.

C. The guarantee and financial test provisions must remain in effect until the Commission has terminated the license or until another financial assurance method acceptable to the Commission has been put in effect by the licensee.

D. The applicant or licensee must provide to the Commission a written guarantee (a written commitment by a corporate officer or officer of the institution) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Commission, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

E. If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poors or Moodys, the licensee shall provide notice in writing of such fact to the

Commission within 20 days after publication of the change by the rating service.

[63 FR 29542, June 1, 1998]

PART 31—GENERAL DOMESTIC LI-CENSES FOR BYPRODUCT MATE-RIAL

Sec.

- 31.1 Purpose and scope.
- 31.2 Terms and conditions.
- 31.3 Certain devices and equipment.
- 31.4 Information collection requirements: OMB approval.
- 31.5 Certain detecting, measuring, gauging, or controlling devices and certain devices for producing light or an ionized atmosphere.
- 31.6 General license to install devices generally licensed in §31.5.
- 31.7 Luminous safety devices for use in aircraft.
- 31.8 Americium–241 in the form of calibration or reference sources.
- 31.9 General license to own byproduct material.
- 31.10 General license for strontium 90 in ice detection devices.
- 31.11 General license for use of byproduct material for certain in vitro clinical or laboratory testing.
- 31.12 Maintenance of records.
- 31.13 Violations.
- 31.14 Criminal penalties.

AUTHORITY: Secs. 81, 161, 183, 68 Stat. 935, 948, 954, as amended (42 U.S.C. 2111, 2201, 2233); secs. 201, as amended, 202, 88 Stat. 1242, as amended, 1244 (42 U.S.C. 5841, 5842); sec. 1704, 112 Stat. 2750 (44 U.S.C. 3504 note).

§31.1 Purpose and scope.

This part establishes general licenses for the possession and use of byproduct material and a general license for ownership of byproduct material. Specific provisions of 10 CFR Part 30 are applicable to general licenses established by this part. These provisions are specified in §31.2 or in the particular general license.

 $[65 \; \mathrm{FR} \; 79187, \; \mathrm{Dec.} \; 18, \; 2000]$

§31.2 Terms and conditions.

The general licenses provided in this part are subject to the general provisions of Part 30 of this chapter (§§ 30.1 through 30.10), the provisions of §§ 30.14(d), 30.34(a) to (e), 30.41, 30.50 to 30.53, 30.61 to 30.63, and Parts 19, 20, and